

# **Application to Amend the Specifications for Rebaudioside M Under Australia and New Zealand Food Standards Code – Standard 1.3.1 – Food Additives**

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# **Application to Amend the Specifications for Rebaudioside M Under Australia and New Zealand Food Standards Code – Standard 1.3.1 – Food Additives**

## **A. GENERAL REQUIREMENTS**

In accordance with Section 3.1.1 – General Requirements of the Food Standards Australia New Zealand (FSANZ) *Application Handbook* (FSANZ, 2016) the following general information must be provided:

1. Format of the application
2. Applicant details
3. Purpose of the application
4. Justification for the application
5. Information to support the application
6. Assessment procedure
7. Confidential commercial information
8. Other Confidential information
9. Exclusive capturable commercial benefit
10. International and other national standards
11. Statutory declaration
12. Checklist

Each point is addressed in turn in Section A that follows.

## **A.1 Format of the Application**

### **1. Information Related to Changes to Standard 1.3.1 – Food Additives**

This application for an amendment to Standard 1.3.1 and related Schedules is prepared pursuant to Section 3.3.1 – Food Additives of the *FSANZ Application Handbook* (FSANZ, 2016) which requires the following structured format to assess an application for a new food additive:

- A. General information on the application.
- B. Technical information on the food additive.
- C. Information on the safety of the food additive.
- D. Information on dietary exposure to the food additive.

The application is presented in this format. At the start of each section (A to D) the information that must be addressed therein is specified in more detail. Additionally, an executive summary for the application is provided as a separate electronic document to this application. The application has been prepared in English and submitted electronically, as required by the *FSANZ Application Handbook* (FSANZ, 2016).

## **A.2 Applicant Details**

Blue California is a science-based manufacturer of natural ingredients that are used in food products, beverages, flavours and fragrances, dietary supplements, personal care and cosmetics. They are an innovative company which offers solutions through its Life Science platform and manufacturing expertise, to companies with global reach in many different industries. The contact details for the person responsible for this application are listed below.

Telephone:

In addition, \_\_\_\_\_ of the Food & Nutrition Group at Intertek Scientific & Regulatory Consultancy is involved in the preparation, submission, and stewardship of this application. His contact details are listed below:

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### A.3 Purpose of the Application

Blue California is submitting this application to FSANZ concerning rebaudioside M that is produced using a new methodology and is therefore seeking the amendment of Standard 1.3.1 and related Schedules for rebaudioside M. Blue California uses a novel multi-step biosynthesis pathway process to manufacture high purity rebaudioside M ( $\geq 95\%$ ) using enzymes uridine diphosphate (UDP)-glucosyltransferase and sucrose synthase that facilitate the transfer of glucose to purified stevia leaf extract *via* glycosidic bonds. These enzymes are made by strains of *Pichia pastoris* (*P. pastoris*).

Schedule 3 of the *Australia New Zealand Food Standards Code* (The Code) contains specifications for “rebaudioside M” and for “steviol glycoside mixtures containing rebaudioside M” in S3—31 and S3—32, respectively. Both specifications refer to primary source specifications for steviol glycosides contained within section S3—2, being either S3—2(1)(b) [the FAO JECFA Monograph], S3-2(1)(c) [the Food Chemicals Codex] or S3—2(1)(d) [European Commission Regulation No 231/2012 (EU, 2012) laying down specifications for food additives]. Specifications for steviol glycosides from these primary sources, including rebaudioside M, indicate that the ingredient is extracted from the leaves of *Stevia rebaudiana* (*S. rebaudiana*) Bertoni. As such, the rebaudioside M for which this application is being made by Blue California does not comply with specifications S3—31 or S3—32.

This application, therefore, aims to amend The Code to encompass the acceptability and permissibility of Blue California’s new manufacturing methodology as another means to safely and effectively produce rebaudioside M. This application does not intend to change the purity specification ( $\geq 95\%$  steviol glycosides) or propose an extension for the use of rebaudioside M in additional food products nor does it propose to increase the permitted quantities of rebaudioside M in permitted food products.

### A.4 Justification of the Application

#### A.4.1 Technological Function for the Food Additive

Steviol glycosides extracted from the leaves of *S. rebaudiana* Bertoni, including rebaudioside M, are already permitted for use as high-intensity sweeteners in Australia and New Zealand for the replacement of sucrose in reduced-calorie or no-sugar-added products. The primary reason for developing alternative methods to the traditional extraction methods for steviol glycosides is that not all glycosides are naturally produced to the same degree in the leaves of *S. rebaudiana* Bertoni. For example, stevioside is a major glycoside present in the leaves of the plant, constituting about 5 to 10% in dry leaves (JECFA, 1999), whereas rebaudioside M is a minor glycoside that is present at much lower levels. Some of the minor glycosides, such as rebaudioside M, have more favourable sensory characteristics when compared to the major glycosides (*i.e.*, stevioside, rebaudioside A) and have taste profiles that are more reflective of sucrose, and hence the development of the new technology to produce a glycoside with preferential sensory characteristics for product development.

#### A.4.2 Costs and Benefits for Industry, Consumers, and Government Associated with Use of the Food Additive

Since rebaudioside M provides improved sensory characteristics over the major steviol glycosides (*i.e.*, stevioside, rebaudioside A), but is naturally present in much lower quantities within the *S. rebaudiana* leaf, it is in the interest of industry to develop alternative production methods that yield higher quantities of rebaudioside M than traditional extraction. Blue California’s new manufacturing methodology uses UDP-glucosyltransferase and sucrose synthase enzymes to bioconvert purified stevia leaf extract to rebaudioside M and yields a final product of no less than 95% purity. Therefore, it is expected that Blue California’s high purity rebaudioside M will present an attractive alternative as a sweetener for food manufacturers. Blue California anticipates that food manufacturers may incorporate their rebaudioside M into products after importation into Australia and New Zealand. In addition,

globally-positioned companies may also import their own finished products containing Blue California's rebaudioside M.

The benefits to the consumer would mirror those for other steviol glycosides currently permitted for use in Australia and New Zealand. Blue California's rebaudioside M, like other steviol glycosides, would be used in foods and beverages to replace sugar, which will benefit consumers seeking products that have reduced caloric content. In addition, this would also include consumers with specific medical conditions that require reduced sugar intake, such as those with diabetes, as the consumption of steviol glycosides does not interfere with glucose homeostasis (EFSA, 2010).

Since Blue California does not intend to propose an extension for the use of rebaudioside M in additional food products nor do they wish to propose to increase the permitted quantities of rebaudioside M in permitted food products, there is no perceived benefit or added cost to the government.

## **A.5 Information to Support the Application**

Technical information specific to Blue California's new manufacturing methodology for rebaudioside M is presented in detail in Section B, including information regarding the production of UDP-glucosyltransferase and sucrose synthase enzymes from strains of *P. pastoris* and their use as processing aids. Since these enzymes are not approved processing aids in Australia and New Zealand, information regarding their manufacture and safety, including the source microorganisms utilised to produce them, are presented pursuant to Section 3.3.2 – Processing Aids of the FSANZ *Application Handbook*. FSANZ reviewed an application to expand the definition of steviol glycosides to include all steviol glycosides present in the *S. rebaudiana* Bertoni leaf in 2016, and as such reviewed the safety of steviol glycosides (FSANZ, 2017a). As a result, the safety of rebaudioside M and steviol glycosides in general have been previously reviewed and established by FSANZ, therefore Section C provides a short summary of steviol glycoside safety and focuses on presenting: a) new safety publications present in the scientific literature which have not previously been evaluated by FSANZ; and b) recent opinions released by regulatory agencies and/or scientific bodies (*i.e.*, Joint FAO/WHO Expert Committee on Food Additives [JECFA]).

## **A.6 Assessment Procedure**

Blue California considers the most appropriate procedure to be adopted in assessing the application to be the General Procedure – Level 2. It is anticipated that this application will involve amending *Standard 1.3.1 – Food Additives* of The Code to modify the specifications outlined in Schedule 3 for rebaudioside M (section S3 – 31) and steviol glycoside mixtures including rebaudioside M (section S3 – 32). Blue California also requests that the evaluation be expedited.

## **A.7 Confidential Commercial Information (CCI)**

Blue California requests that certain proprietary information within Section B.5 (Manufacturing Process) be considered confidential commercial information (CCI). Non-confidential general summaries of proprietary manufacturing information are provided within the application, and all details considered CCI have been removed and are presented in Appendix A. Blue California requests that all information presented in Appendix A remain confidential as it holds significant commercial value to the company, including proprietary details on the manufacture of the production strains, enzymes, and the final rebaudioside M product, as well as unpublished amino acid sequences of the enzymes.

## A.8 Other Confidential Information

Blue California requests that the identity of the companies that perform analysis testing (*i.e.*, stability, residue, *etc.*) are to remain confidential. More specifically, Blue California wishes not to disclose the companies by name but is amicable with the general disclosure of the companies' location (*i.e.*, "a lab in Europe").

## A.9 Exclusive Capturable Commercial Benefit (ECCB)

Blue California is currently not the only manufacturer of rebaudioside M. Therefore, as there are other manufacturers of rebaudioside M, the application would not confer exclusive capturable commercial benefit (ECCB) in accordance with Section 8 of the FSANZ Act, as there are other companies who would likely benefit from approval of this application.

## A.10 International and Other National Standards

### A.10.1 The Joint FAO/WHO Expert Committee on Food Additives (JECFA)

The JECFA Committee recently re-evaluated the safety, dietary intake, and specifications for steviol glycosides at its 82<sup>nd</sup> meeting in 2016. The safety of steviol glycosides as well as the acceptable daily intake (ADI) of 0 to 4 mg/kg body weight, expressed as steviol, were confirmed. Details of a new manufacturing process for rebaudioside A utilising a strain of *Yarrowia lipolytica* that was genetically modified to overexpress the steviol glycoside biosynthetic pathway were submitted to and reviewed by the Committee. As a result, the Committee issued a new specification monograph for "Rebaudioside A from Multiple Gene Donors Expressed in *Yarrowia lipolytica*" (JECFA, 2016a). The Committee also reviewed data demonstrating the shared metabolism of all steviol glycosides and issued new 'tentative' specifications<sup>1</sup> for "Steviol Glycosides from *Stevia rebaudiana* Bertoni" (JECFA, 2016b), expanding the definition of steviol glycosides to "a mixture of compounds containing a steviol backbone conjugated to any number or combination of the principal sugar moieties in any of the orientations occurring in the leaves of *Stevia rebaudiana* Bertoni including, glucose, rhamnose, xylose, fructose, and deoxyglucose". The purity of steviol glycosides from *S. rebaudiana* Bertoni must be no less than 95% total steviol glycosides on the dried basis.

### A.10.2 United States

In the United States (U.S.), steviol glycosides are Generally Recognised as Safe (GRAS) for use as general purpose sweeteners in foods, and to date, over 45 GRAS notices have been submitted to the U.S. Food and Drug Administration (FDA) for review. These notices include submissions for purified individual steviol glycosides, mixtures of steviol glycosides, and glucosylated steviol glycosides, all with a total steviol glycoside content of no less than 95%. With the exception of the most recent GRAS notification (GRN 733) that is currently pending review, the U.S. FDA has raised no objections to the GRAS status of steviol glycoside products for use as general purpose sweeteners in foods. Of particular relevance to this submission, GRN No. 667 was submitted by Blue California for rebaudioside M produced *via* enzymatic bioconversion of purified stevia leaf extract, which is the same product that is the subject of this application (Blue California, 2016; U.S. FDA, 2017a). The U.S. FDA responded with no questions to the GRAS status of Blue California's rebaudioside M produced *via* bioconversion for use as a table top sweetener and as a general purpose non-nutritive sweetener in foods (U.S. FDA, 2017a).

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<sup>1</sup> The tentative status was removed at the 84<sup>th</sup> meeting and full specifications are to be published that include the additional sugar moieties arabinose and galactose.

### A.10.3 Other Jurisdictions

Steviol glycosides are approved for use in a number of other jurisdictions, including the European Union, Canada, Asia, Central/South America, and Africa (Global Stevia Institute, 2017). In the European Union, commercially available steviol glycoside products must comply with the specifications for steviol glycosides (E 960) adopted by the European Commission in 2012 and recently updated in 2016 (EU, 2012, 2016). Presently, the specifications stipulate that steviol glycoside products must contain no less than 95% of 11 named steviol glycosides: stevioside, rebaudiosides A, B, C, D, E, F and M, steviolbioside, rubusoside, and dulcoside. Health Canada has approved steviol glycosides for use as food additives in Canada, and recently expanded the definition in the list of permitted sweeteners to include all the steviol glycosides in the *S. rebaudiana* Bertoni plant (Health Canada, 2017a). In Japan, South Korea, China, Malaysia, Indonesia, Singapore, and Taiwan, steviol glycosides are approved food additives/sweetening agents. The Ministry of Health and Welfare in Japan has authorised the use of 3 types of stevia extracts, including  $\alpha$ -glucosyltransferase-treated stevia, powdered stevia, and stevia extract (Japan Food Chemical Research Foundation, 2014). Purified stevioside (crude extract, 50% purity, and  $\geq 90\%$  purity) and *S. rebaudiana* leaf extracts are also accepted for general use as sweeteners in foods and beverages (Marie, 1991; Das *et al.*, 1992; Ferlow, 2005). The Food Safety and Standards Authority of India (FSSAI) has approved the use of steviol glycosides in a variety of food and beverage categories (FSSAI, 2012; MOHFW, 2015). In a number of South/Central American countries (*e.g.*, Brazil, Argentina, Paraguay, Uruguay, Mexico, Peru, and Colombia) stevioside, *S. rebaudiana* leaves, and highly refined stevia extracts are permitted for use as low-calorie sweeteners.

Furthermore, Health Canada has no objections to the use of Blue California's high-purity rebaudioside M manufactured using genetically modified yeast, provided that the product is used in accordance with the permitted uses of steviol glycosides as set out in Item S.1.2 of the *List of Permitted Sweeteners*, is free of yeast cells, and is of food-grade quality (see Appendix A).

### A.11 Statutory Declaration

Signed Statutory Declarations for Australia and New Zealand are provided in Appendix B.

### A.12 Checklist

Completed checklists relating to the information required for submission with this application based on the relevant guidelines in the *FSANZ Application Handbook* are provided in Appendix C.

## **B. TECHNICAL INFORMATION ON THE FOOD ADDITIVE**

In accordance with Section 3.3.1 – Food Additives of the FSANZ *Application Handbook* (FSANZ, 2016) the following technical information must be provided:

1. Nature and technological purpose of the food additive.
2. Information to enable identification of the additive.
3. Information on the chemical and physical properties of the additive.
4. Information on the impurity profile.
5. Manufacturing process.
6. Specifications for identity and purity.
7. Information for food labelling.
8. Analytical method for detection.
9. Potential additional purposes of the food additive when added to food.

These points are addressed in the Section that follows. In addition, to fulfil the requirements outlined in Guideline 3.3.2 – Processing Aids of the FSANZ *Application Handbook*, the following information on the enzymatic processing aids, including the production microorganisms, are presented:

1. Technical information on the processing aid.
2. Information related to the safety of an enzyme processing aid.
3. Additional information related to the safety of an enzyme processing aid derived from a microorganism.
4. Additional information related the safety of an enzyme processing aid derived from a genetically-modified microorganism.

## B.1 Nature and Technological Purpose of Rebaudioside M

### B.1.1 Technological Purpose

Blue California's rebaudioside M is produced by enzymatic bioconversion of purified stevia leaf extract and the final product is a highly purified preparation containing no less than 95% rebaudioside M. As per the technological purposes listed in Schedule 14 – Technological purposes performed by substances used as food additives, Blue California's rebaudioside M fulfils the function as an intense sweetener and a flavour enhancer, consistent with rebaudioside M and steviol glycoside preparations already approved for use in Australia and New Zealand. Blue California does not intend for this application to extend the use of rebaudioside M or steviol glycosides in general to foods for which its use levels have not already been permitted; Blue California intends to use their rebaudioside M in the current food categories and at use levels currently permitted for steviol glycosides. Likewise, Blue California does not intend to propose additional or different food matrices to which the addition of steviol glycosides has not already been approved.

### B.1.2 Sweetness Potency

The sweetness equivalency to sucrose of Blue California's rebaudioside M produced *via* enzymatic bioconversion of purified stevia leaf extract was evaluated by a sensory panel. Serial dilutions of rebaudioside M and sucrose were prepared in water at room temperature. Participants (n=40) were instructed to first sample plain water and then were given the serially diluted samples starting with the lowest to the highest concentration. The sample in which a change in taste was first noticed was selected by each participant for each set of solutions. The concentration at which at least 50% of the participants first noted a change was used to determine the threshold for each sweetener. Based on these data, rebaudioside M was determined to be 200 times sweeter than sucrose (full study report provided in Appendix D).

### B.1.3 Stability

Extensive stability testing has been conducted on steviol glycosides and at the 68<sup>th</sup> meeting of the JECFA Committee it was concluded that "steviol glycosides are thermally and hydrolytically stable for food use, including acidic beverages, under normal conditions of processing/storage" (JECFA, 2007). At the 82<sup>nd</sup> meeting in 2016, the Committee reviewed additional stability data and concluded, "the stability of steviol glycosides extract preparations established by JECFA at the 68<sup>th</sup> meeting can be extended to include steviol glycosides extract preparations containing higher levels of new glycosides added to the definition appearing in commercial products, mainly rebaudioside D and rebaudioside M" (FAO, 2016). Oehme *et al.* (2017) evaluated the structural stability of 3 commercial batches each of the dried stevia leaves, the first aqueous infusion of the ground stevia, and a high-purity stevia leaf extract ( $\geq 95\%$  steviol glycosides), to determine whether the manufacturing process adversely impacts steviol glycoside composition. Changes in steviol glycosides were analysed by high-performance liquid chromatography (HPLC)-UV and HPLC-ESI-MS/MS. The authors reported that all 9 steviol glycosides defined by JECFA were detected in all samples, demonstrating that processing does not chemically alter or modify the steviol glycoside content.

To confirm that these conclusions apply to Blue California's rebaudioside M produced *via* enzymatic bioconversion of purified stevia leaf extract, a 6-month accelerated stability study was conducted on 5 representative batches of the final rebaudioside M product (Lot No. 20151115-C3, 20151123-D4, M195-151127, M195-151128, M195-151165). The samples were stored at  $40 \pm 2^\circ\text{C}$  at a relative humidity of  $75 \pm 5\%$ . Rebaudioside M was observed to be stable over the course of the accelerated stability study, based on appearance, moisture content, and percent rebaudioside M content measured by HPLC compared to baseline (Table B.1.3-1).

**Table B.1.3-1 Accelerated Storage Stability Data for Blue California’s Rebaudioside M**

| Duration (months) | Appearance   | Manufacturing Lot No. |                 |              |                 |              |                 |              |                 |              |                 |
|-------------------|--------------|-----------------------|-----------------|--------------|-----------------|--------------|-----------------|--------------|-----------------|--------------|-----------------|
|                   |              | 20151115-C3           |                 | 20151125-D4  |                 | M195-151127  |                 | M195-151128  |                 | M195-151165  |                 |
|                   |              | Moisture (%)          | Reb M (HPLC, %) | Moisture (%) | Reb M (HPLC, %) | Moisture (%) | Reb M (HPLC, %) | Moisture (%) | Reb M (HPLC, %) | Moisture (%) | Reb M (HPLC, %) |
| 0                 | White powder | 4.4                   | 97.5            | 4.9          | 97.52           | 5.3          | 95.8            | 5.2          | 97.2            | 5.3          | 96.4            |
| 1                 | White powder | 4.8                   | 96.8            | 5.2          | 96.54           | 5.1          | 96.65           | 5.1          | 96.2            | 5.1          | 97.8            |
| 2                 | White powder | 5.2                   | 98.31           | 5.1          | 97.79           | 4.9          | 98.20           | 4.9          | 97.6            | 4.9          | 96.7            |
| 3                 | White powder | 5.1                   | 99.3            | 4.5          | 98.85           | 4.8          | 96.25           | 4.8          | 98.4            | 4.8          | 98.5            |
| 4                 | White powder | 4.5                   | 96.42           | 4.6          | 96.62           | 4.6          | 96.75           | 4.6          | 96.5            | 4.6          | 96.2            |
| 5                 | White powder | 4.2                   | 97.88           | 4.9          | 96.83           | 4.6          | 97.23           | 5.1          | 96.3            | 5.3          | 96.7            |
| 6                 | White powder | 4.9                   | 96.2            | 4.7          | 97.23           | 4.5          | 96.60           | 5.2          | 96.5            | 5.2          | 97.5            |

HPLC = high-performance liquid chromatography; Reb M = rebaudioside M

## B.2 Information to Enable Identification of Rebaudioside M

Information to enable the identification of rebaudioside M, including the chemical structure, the chemical name, the molecular weight and formula, and the common name, are presented below.

### B.2.1 Identity of Substance

Blue California's rebaudioside M is produced by enzymatic bioconversion of purified stevia leaf extract and the final product is a high purity preparation containing no less than 95% rebaudioside M. Rebaudioside M is a minor naturally occurring steviol glycoside that is present in the leaves of *S. rebaudiana* Bertoni. Rebaudioside M is an *ent*-kaurane diterpene glycoside with a steviol backbone and has two 2-O- $\beta$ -D-glucopyranosyl-3-O- $\beta$ -D-glucopyranosyl- $\beta$ -D-glucopyranosyl units, an ether at position C-13, and an ester at position C-19 (see Figure B.2.1-1).

**Chemical name:** 13-[(O- $\beta$ -D-Glucopyranosyl-(1-2)-O- $\beta$ -D-glucosylpyranosyl-(1-3))- $\beta$ -D-glucosylpyranosyl]oxy]-kaur-16-en-18-oic acid (4-)-O- $\beta$ -D-glucosylpyranosyl-(1-2)-O- $\beta$ -D-glucosylpyranosyl-(1-3))- $\beta$ -D-glycosylpyranosyl ester.

**Common name:** Rebaudioside M

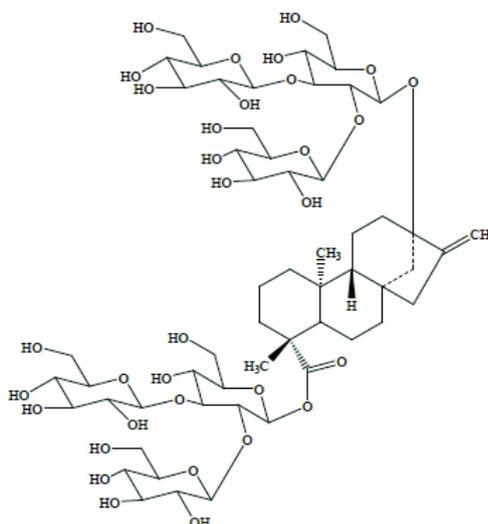
**Synonyms:** Reb M, Rebaudioside X, Reb X

**Chemical formula:** C<sub>56</sub>H<sub>90</sub>O<sub>33</sub>

**Molecular weight:** 1291.29 Daltons

**CAS Number:** 1220616-44-3

Figure B.2.1-1 Chemical Structure of Rebaudioside M (adapted from Chaturvedula *et al.*, 2013)



## B.3 Information on the Chemical and Physical Properties of Rebaudioside M

Blue California's rebaudioside M is a white powder that is freely soluble in water with a slight characteristic odour, consistent with rebaudioside M extracted from the leaves of *S. rebaudiana* Bertoni. Steviol glycosides are a group of compounds that share a similar molecular structure, where different sugar moieties are attached to the aglycone steviol (an *ent*-kaurene-type diterpene). Steviol glycosides include any compound containing a steviol backbone conjugated to any number or combination of the principal sugar moieties, including glucose, rhamnose, xylose, fructose, deoxyglucose, galactose, and arabinose (JECFA, 2016b). Based on the similar chemical structure, all steviol glycosides including

rebaudioside M share a common metabolic fate following consumption (Purkayastha *et al.*, 2016). Specifically, steviol glycosides are hydrolysed to steviol in the large intestine, which is subsequently absorbed and conjugated with glucuronic acid to form steviol glucuronide. The glucuronide metabolite is then excreted primarily *via* the urine in humans [Kraemer and Maurer, 1994; Koyama *et al.*, 2003a,b; Geuns and Pietta, 2004 (unpublished); Simonetti *et al.*, 2004; Geuns *et al.*, 2006, 2007; Wheeler *et al.*, 2008; Roberts *et al.*, 2016].

## **B.4 Information on the Impurity Profile**

Blue California's rebaudioside M produced *via* enzymatic bioconversion of purified stevia leaf extract consists of  $\geq 95\%$  rebaudioside M. As described in Section B.6.1, Blue California has established product specifications for rebaudioside M that are consistent with the specifications in Schedule 3 of The Code for "rebaudioside M" (S3—31) and "steviol glycoside mixtures containing rebaudioside M" (S3—32), and comply with the assay and impurity specifications in FAO JECFA Monograph 19 for "Steviol Glycosides from *Stevia rebaudiana* Bertoni" (JECFA, 2016b). In addition to the chemical and microbiological specifications, since the starting steviol glycoside material ( $\geq 95\%$ ) is extracted from the leaves of *S. rebaudiana* Bertoni, pesticide residue analyses were conducted on 5 representative batches of the final rebaudioside M product (Lot No. 20151115-C3, 20151123-D4, M195-151127, M195-151128, M195-151165). The results of the analyses provided in Appendix E demonstrate the absence of any residual pesticides in the product. The final rebaudioside M product has also been tested for residual protein to ensure that the processing enzymes have been effectively removed from the finished product. Analysis of 3 of the same batches of final rebaudioside M product (Lot No. 20151115-C3, 20151123-D4, M195-151127) using the bicinchoninic acid (BCA) assay with a limit of detection of 5 ppm confirms the absence of protein residues in the final product (results provided in Appendix F).

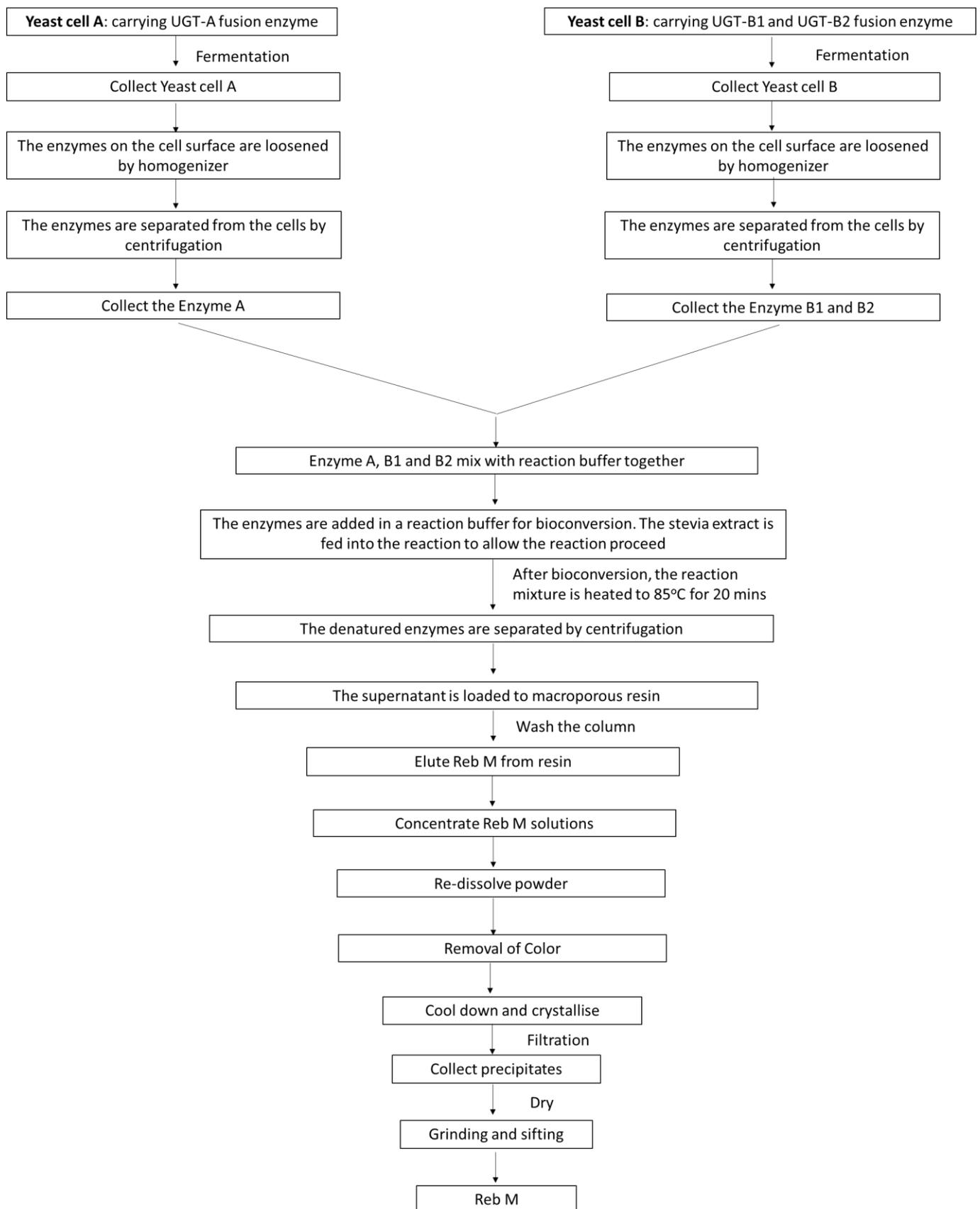
## **B.5 Manufacturing Process**

### **B.5.1 Overview**

Blue California uses a novel multi-step biosynthesis pathway process to manufacture high purity rebaudioside M ( $\geq 95\%$ ) using enzymes that facilitate the transfer of glucose to purified stevia leaf extract *via* glycosidic bonds (*e.g.*, UDP-glucosyltransferase, sucrose synthase). The enzymes are produced by strains of *P. pastoris*. Blue California's rebaudioside M is manufactured in compliance with current Good Manufacturing Practices (cGMP). The manufacturing process can be broadly divided into 2 stages. In the first stage, 2 strains of *P. pastoris* undergo fermentation to generate the UDP-glucosyltransferase and sucrose synthase enzymes required for the bioconversion. Following the fermentation step, the enzymes are isolated from the source microorganisms. In the second stage, the enzymes are mixed with stevia extract ( $\geq 95\%$  steviol glycosides, extracted from the leaves of *S. rebaudiana* Bertoni) to generate rebaudioside M. The resulting rebaudioside M undergoes a series of purification and isolation steps to generate the final high-purity rebaudioside M ( $\geq 95\%$ ). A schematic overview and detailed description of the production process is presented in Figure B.5.1-1 and Section B.5.3, respectively.

It should be noted that Blue California's rebaudioside M is currently manufactured in China. The preparation will not be manufactured in Australia or New Zealand, and therefore, the fermentation substrates, production organisms, and all processing aids used in the manufacturing process will not enter the territory.

Figure B.5.1-1 Schematic Overview of Rebaudioside M Production Process



## B.5.2 Identity of Raw Materials and Processing Aids

All materials and processing aids utilised in the manufacture of Blue California's rebaudioside M are food-grade and comply with relevant Food Chemical Codex (FCC) or other internationally-recognised standards. A list of all raw materials, processing aids, and filtration aids used in Stage 1 of the manufacturing process to generate the enzymes is provided in Appendix A.

Stage 2 of the production process (production of rebaudioside M) requires the use of various processing and filtration aids that are already recognised for use in the manufacture of steviol glycoside preparations, in addition to the raw materials purified stevia leaf extract ( $\geq 95\%$  steviol glycosides) and glucose (Table B.5.2-1). A certificate of analysis for a typical batch of purified stevia leaf extract, the starting raw material, is provided in Appendix A.

**Table B.5.2-1 Raw Materials and Processing Aids Used in Stage 2 of the Manufacturing Process**

| Material  | Function              |
|---|-----------------------|
| <b>Raw Material Substrates</b>                        |                       |
| Stevia leaf extract ( $\geq 95\%$ steviol glycosides) | Starting raw material |
| Glucose   | Substrate             |
| UDP-glucose   | Substrate             |
| <b>Processing Aids</b>                                |                       |
| Potassium monophosphate                               | Buffer solution       |
| Potassium biphosphate                                 | Buffer solution       |
| UDP-glucosyltransferase and sucrose synthase          | Catalysts/enzymes     |
| Water   | Solvent               |
| Ethanol   | Solvent               |
| Activated charcoal                                    | Decolourant           |
| <b>Filtration Aids</b>                                |                       |
| Nylon membrane cloth                                  | Filtration aid        |
| Macroporous resin column                              | Filtration aid        |
| Filter paper  | Filtration aid        |

UDP = uridine diphosphate

## B.5.3 Details of the Manufacturing Process

### B.5.3.1 Stage 1 – Enzyme Production

The first stage of the manufacturing process involves preparation of the enzymes that are utilised as processing aids in stage 2. The enzymes are generated by strains of *P. pastoris* that express UDP-glucosyltransferase and sucrose synthase enzymes necessary to convert purified stevia leaf extract to rebaudioside M. The 2 strains are designated Yeast A and Yeast B, carrying UGT-A (Yeast strain A), UGT-B1 and UGT-B2 (Yeast strain B) fusion enzymes (*i.e.*, glucosyltransferase fused with sucrose synthase). A comprehensive description of the methods used in the genetic modification and steps taken to construct these source organisms is provided in Appendix A according to Section 3.3.2 – Processing Aids, subsection E, of the *Application Handbook* (FSANZ, 2016).

The glycerol stocks of Yeast A and Yeast B are removed from the  $-70^{\circ}\text{C}$  freezer, thawed to room temperature, and grown in 50 mL yeast culture seed media. After 12 hours, the growing seed culture 1 is transferred to 2 L yeast culture seed media as seed culture 2. When the cells read  $\text{OD}_{600} = 10$ , they are transferred to 500 L fermenters. This seed culture 3 is then transferred to a 60 tonne production fermenter. The yeast cells are cultured, according to Blue California's published patents, for 48 hours. After confirming their catalytic activity in a small shaking flask, Yeast A and B are harvested separately by centrifugation and re-suspended in a reaction buffer. Yeast A and B are passed through a

homogeniser operated at minimum pressure to release the enzymes present on the cell surface without lysing the cells. The enzymes are separated from the yeast cells *via* centrifugation, and the supernatants containing the UGT-A, UGT-B1, and UGT-B2 fusion enzymes are collected and used in the bioconversion.

### **B.5.3.2 Stage 2 – Rebaudioside M Production**

#### **A) Bioconversion**

For the catalytic reaction needed to convert purified stevia leaf extract to rebaudioside M, UGT-A, UGT-B1, and UGT-B2 fusion enzymes are mixed together in a 60 tonne reaction tank with slow agitation. Purified stevia leaf extract (95% steviol glycosides) is fed into the tank to allow the reaction to proceed. The reaction mixture containing rebaudioside M is collected in a storage tank and is heated to denature the enzymes. The mixture is filtered to remove the denatured enzymes.

#### **B) Extraction and Purification**

The remaining steps employed to purify rebaudioside M are consistent with the purification procedures described for steviol glycosides in the most recent Chemical and Technical Assessment (FAO, 2016). The supernatant is loaded onto large columns containing a macroporous resin. The supernatant flows through the column by gravity and is bound to the resin. The column is rinsed with a series of buffer solutions and rebaudioside M is eluted with food-grade ethanol numerous times. The eluent is collected and condensed in a wipe-film evaporator. The condensate is chilled to allow rebaudioside M to crystallise and precipitate from the solution. The wet crystals are collected, washed, and dissolved in ethanol. The re-dissolved rebaudioside M is treated with activated charcoal to remove remaining impurities, re-crystallised, dried, and processed to the final high-purity rebaudioside M product ( $\geq 95\%$ ).

### **B.5.4 Additional Information Regarding the Source Microorganisms and Enzymes Utilised as Processing Aids**

As described in Section B.5.3 above, UDP-glucosyltransferase and sucrose synthase enzymes are used as processing aids to produce rebaudioside M, and these enzymes are produced using strains of *P. pastoris*. Therefore, additional information is provided according to Section 3.3.2 – Processing Aids, subsections A, C, D & E of the *Application Handbook* (FSANZ, 2016).

UDP-glucosyltransferase (EC 2.4.1.17) and sucrose synthase (EC 2.4.1.13) are food enzymes used in the processing of the raw material, and specifically perform the function of converting purified stevia leaf extract to rebaudioside M.

#### **B.5.4.1 Information on the Identity of the Enzymes**

##### **B.5.4.1.1 UDP-glucosyltransferase**

|  |   |
|--|---|
| Source (strain):   | <i>Pichia pastoris</i> containing DNA sequences encoding UGT and sucrose synthase enzymes |
| Common/Accepted Name:  | Glucosyltransferase   |
| Enzyme Classification Number of Enzyme Commission (EC) of the International Union of Biochemistry and Molecular Biology (IUBMB): | 2.4.1.17  |
| Chemical/Systematic Name:  | UDP-glucose $\beta$ -D-glucosyltransferase  |

Chemical Abstracts Service (CAS) Number: 9030-08-4

#### B.5.4.1.2 Sucrose Synthase

Source (strain): *Pichia pastoris* containing DNA sequences encoding UGT and sucrose synthase enzymes

Common/Accepted Name: Sucrose synthase

Enzyme Classification Number of Enzyme Commission (EC) of the International Union of Biochemistry and Molecular Biology (IUBMB): 2.4.1.13

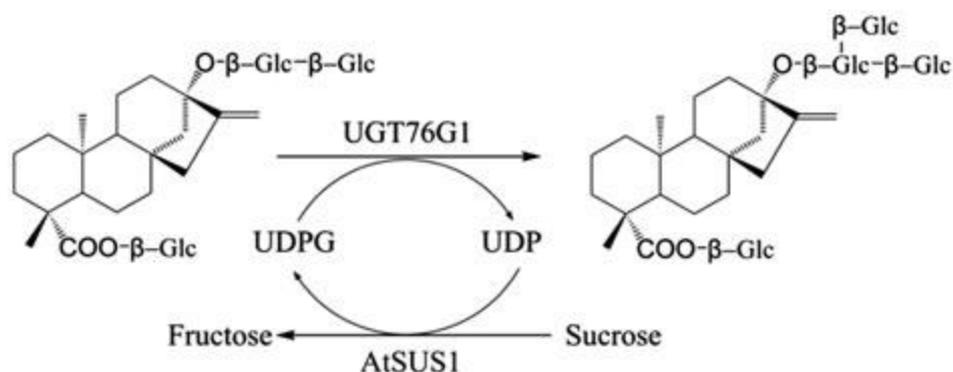
Chemical/Systematic Name: NDP-glucose:D-fructose 2- $\alpha$ -D-glucosyltransferase

Chemical Abstracts Service (CAS) Number: 9030-05-1

#### B.5.4.2 Information on the Chemical and Physical Properties of the Enzymes

The family of UDP-glycosyltransferases are involved in the transfer of a sugar residue from an activated donor molecule (*e.g.*, UDP-glucose) to an acceptor molecule (Richman *et al.*, 2005). Steviol glycoside synthesis in the *S. rebaudiana* Bertoni plant involves successive glucosylation steps starting with steviol to form steviolmonoside, followed by steviobioside, and then stevioside *etc.* Specifically, UDP-glucosyltransferase UGT76G1 catalyses the reaction of stevioside to form rebaudioside A by glucosylation at the C-4 carboxyl group (Richman *et al.*, 2005; Humphrey *et al.*, 2006). In this reaction, the activated sugar donor (UDP-glucose) and fructose are formed from UDP and sucrose, the reaction of which is catalysed by sucrose synthase (Humphrey *et al.*, 2006; Wang *et al.*, 2015). The reaction mechanism of UDP-glycosyltransferase and sucrose synthase to form rebaudioside A from stevioside is shown in Figure B.5.4.2-1 below. Thus, the coupled activities of UDP-glycosyltransferase and sucrose synthase were adapted by Blue California to the efficient production of rebaudioside M from stevia extract (Mao *et al.*, 2016a,b).

**Figure B.5.4.2-1 Mechanism of Formation of Rebaudioside A from Stevioside with UDP-Glycosyltransferase and Sucrose Synthase (adapted from Wang *et al.*, 2015)**



**B.5.4.3 General Information on the Use of the Enzymes as a Food Processing Aid in Other Countries**

The UDP-glucosyltransferase and sucrose synthase enzymes described in this application are only used as processing aids by Blue California to produce rebaudioside M from purified stevia leaf extract. Blue California's rebaudioside M is currently manufactured in China, and is GRAS for use in the U.S. as a table top sweetener and as a general purpose non-nutritive sweetener in foods (U.S. FDA, 2016a). Health Canada has no objections to the use of Blue California's high-purity rebaudioside M manufactured using genetically modified yeast, provided that the product is used in accordance with the permitted uses of steviol glycosides as set out in Item S.1.2 of the *List of Permitted Sweeteners*, is free of yeast cells, and is of food-grade quality (see Appendix A).

**B.5.4.4 Information on the Potential Toxicity of the Enzymes**

The individual UDP-glucosyltransferase and sucrose synthase enzymes that are present in the UGT-A, UGT-B1, and UGT-B2 fusion enzymes are derived from plants, including *S. rebaudiana* Bertoni, and are not associated with any known toxicity. Furthermore, several steps are undertaken in the manufacturing process to inactivate and remove the enzyme system, including heating, treatment with activated carbon, resin purification, and filtration. The final rebaudioside M product was tested for residual protein to ensure that the processing enzymes were effectively removed and no protein was detected (see Section B.4).

To confirm that the UGT-A, UGT-B1, and UGT-B2 fusion enzymes do not harbour any toxic potential, the Basic Local Alignment Search Tool (BLAST) program maintained by the National Center for Biotechnology Information (NCBI) was used to conduct a sequence alignment query of the UGT-A, UGT-B1, and UGT-B2 fusion enzyme FASTA protein sequences against downloaded protein sequences obtained from a curated database of venom proteins and toxins maintained by UniProt (UniProtKB/Swiss-Prot Tox-Prot<sup>2</sup>). BLAST searches also were conducted against a curated database of virulence proteins and toxins maintained by UniProt (UniProtKB/Swiss-Prot/TrEMBL<sup>3</sup>). A sequence alignment of  $\geq 35\%$  identity was used as a threshold for identification as a positive alignment (Codex Alimentarius, 2003; Goodman *et al.*, 2008; Goodman and Tetteh, 2011). The BLAST search results are summarized in Tables B.5.4.4-1 to B.5.4.4-3 below, and only results in which a  $\geq 35\%$  identity match was identified are presented. Full results of these searches are provided in Appendix A. All sequence matches had low query coverages (1 to 3%) paired with high E-values (0.005 to 3.4), and therefore the assessed proteins were not considered to share homology or structural similarity with any known animal venom proteins and toxins or virulence factors (Pearson, 2000; Bushey *et al.*, 2014).

**Table B.5.4.5-1 Full FASTA Search of UniProtKB/Swiss-Prot Tox-Prot and UniProtKB/Swiss-Prot/TrEMBL Databases with UGT-A Fusion Enzyme (Summary of Alignments with  $\geq 35\%$  Identity)**

| Query  | Description  | Length | Query Cover | E-value | % Identity  |
|--|--|--------|-------------|---------|-------------|
| <b>Toxin</b>   |  |        |             |         |             |
| No sequence homology $\geq 35\%$ identity <sup>a</sup> |  |        |             |         |             |
| <b>Virulence</b>                                       |  |        |             |         |             |
| 93156  | MGTA_MYCTU GDP-mannose-dependent alpha-mannosyltransferase | 378    | 3%          | 0.54    | 37% (16/43) |
| 91993  | MGTA_MYCTO GDP-mannose-dependent alpha-mannosyltransferase | 378    | 3%          | 0.54    | 37% (16/43) |

<sup>2</sup> The UniProtKB/Swiss-Prot Tox-Prot database is available at:

[http://www.uniprot.org/uniprot/?query=taxonomy%3A%22Metazoa+\[33208\]%22+AND+%28keyword%3Atoxin++OR+annotation%3A%28type%3A%22tissue+specificity%22+AND+venom%29%29+AND+reviewed%3Ayes&sort=score](http://www.uniprot.org/uniprot/?query=taxonomy%3A%22Metazoa+[33208]%22+AND+%28keyword%3Atoxin++OR+annotation%3A%28type%3A%22tissue+specificity%22+AND+venom%29%29+AND+reviewed%3Ayes&sort=score).

<sup>3</sup> The UniProtKB/Swiss-Prot/TrEMBL database is available at: <http://www.uniprot.org/uniprot/?query=keyword:KW-0843>.

**Table B.5.4.5-1 Full FASTA Search of UniProtKB/Swiss-Prot Tox-Prot and UniProtKB/Swiss-Prot/TrEMBL Databases with UGT-A Fusion Enzyme (Summary of Alignments with ≥35% Identity)**

| Query | Description | Length | Query Cover | E-value | % Identity |
|-------|-------------|--------|-------------|---------|------------|
|-------|-------------|--------|-------------|---------|------------|

<sup>a</sup> Sequence homology with <35% identity was identified in the search, however, the results are not presented in this table as they did not meet the identity threshold of ≥35%.

**Table B.5.4.5-2 Full FASTA Search of UniProtKB/Swiss-Prot Tox-Prot and UniProtKB/Swiss-Prot/TrEMBL Databases with UGT-B1 Fusion Enzyme (Summary of Alignments with ≥35% Identity)**

| Query            | Description   | Length | Query Cover | E-value | % Identity  |
|------------------|---|--------|-------------|---------|-------------|
| <b>Toxin</b>     |   |        |             |         |             |
| 224101           | PA1_VESMC Phospholipase A1  | 300    | 1%          | 0.41    | 42% (11/26) |
| <b>Virulence</b> |   |        |             |         |             |
| 203965           | MGTA_MYCS2 GDP-mannose-dependent alpha-mannosyltransferase  | 375    | 3%          | 0.005   | 39% (18/46) |
| 204162           | MGTA_MYCTU GDP-mannose-dependent alpha-mannosyltransferase  | 378    | 3%          | 0.58    | 37% (16/43) |
| 202999           | MGTA_MYCTO GDP-mannose-dependent alpha-mannosyltransferase  | 378    | 3%          | 0.58    | 37% (16/43) |
| 203889           | PIMC_MYCTO GDP-mannose-dependent alpha-(1-6)-phosphatidylinositol dimannoside mannosyltransferase | 381    | 2%          | 3.4     | 36% (12/33) |

**Table B.5.4.5-3 Full FASTA Search of UniProtKB/Swiss-Prot Tox-Prot and UniProtKB/Swiss-Prot/TrEMBL Databases with UGT-B2 Fusion Enzyme (Summary of Alignments with ≥35% Identity)**

| Query            | Description   | Length | Query Cover | E-value | % Identity  |
|------------------|---|--------|-------------|---------|-------------|
| <b>Toxin</b>     |   |        |             |         |             |
| 133281           | PA1_VESMC Phospholipase A1  | 300    | 1%          | 0.41    | 42 (11/26)  |
| <b>Virulence</b> |   |        |             |         |             |
| 143095           | MGTA_MYCS2 GDP-mannose-dependent alpha-mannosyltransferase  | 375    | 3%          | 0.005   | 39% (18/46) |
| 143292           | MGTA_MYCTU GDP-mannose-dependent alpha-mannosyltransferase  | 378    | 3%          | 0.59    | 37% (16/43) |
| 142129           | MGTA_MYCTO GDP-mannose-dependent alpha-mannosyltransferase  | 378    | 3%          | 0.59    | 37% (16/43) |
| 143019           | PIMC_MYCTO GDP-mannose-dependent alpha-(1-6)-phosphatidylinositol dimannoside mannosyltransferase | 381    | 2%          | 3.4     | 36% (12/33) |

#### **B.5.4.5 Information on the Potential Allergenicity of the Enzymes**

A sequence homology search was conducted according to the approach outlined by the FAO/WHO (FAO/WHO, 2001) and the Codex Alimentarius (2009) using the AllergenOnline Database version 18B (available at <http://www.allergenonline.org>; updated March 23, 2018) maintained by the Food Allergy Research and Resource Program (FARRP) of the University of Nebraska (FARRP, 2018). This was done to determine whether the UGT-A, UGT-B1, and UGT-B2 fusion enzymes used in the bioconversion process of Blue California's rebaudioside M contain amino acid sequences similar to other known allergens that might produce an allergenic response. The database contains a comprehensive list of putative allergenic proteins developed *via* a peer reviewed process for the purpose of evaluating food safety.

The sequence homology search using full-length FASTA alignment was performed on April 19, 2018 and the full reports are provided in Appendix A. As shown in Tables B.5.4.5-1 to B.5.4.5-3 below, several sequence alignments with E-values ranging between 0.028 to 1 were identified for the sequences of the UGT-A, UGT-B1, and UGT-B2 fusion enzymes. E-values larger than  $1 \times 10^{-7}$ , however, are unlikely to identify proteins that may share immunologic or allergic cross-reactivity to known allergens (Hileman *et al.*, 2002). Additionally, neither UGT-A, UGT-B1, or UGT-B2 sequences shared greater than 50% identity with the identified allergens, indicating the unlikely potential for cross-reactivity to the allergens listed in the tables below.

**Table B.5.4.5-1 Full FASTA Search of AllergenOnline Database Version 18B with UGT-A Fusion Enzyme**

| Sequence G.I. # | Organism               | Description                          | Length | E-value | % Identity | Amino acid alignment |
|-----------------|------------------------|--------------------------------------|--------|---------|------------|----------------------|
| 262272875       | <i>Blattella germ</i>  | allergen Bla g 3 isoform 1 precursor | 657    | 0.76    | 23.1%      | 121                  |
| 2398759         | <i>Phleum pratense</i> | pollen allergen PhlpVb               | 284    | 0.81    | 22.6%      | 234                  |

**Table B.5.4.5-2 Full FASTA Search of AllergenOnline Database Version 18B with UGT-B1 Fusion Enzyme**

| Sequence G.I. # | Organism  | Description                       | Length | E-value | % Identity | Amino acid alignment |
|-----------------|---|-----------------------------------|--------|---------|------------|----------------------|
| 4468224         | <i>Helix aspersa</i>                              | tropomyosin                       | 284    | 0.028   | 26.7%      | 165                  |
| 1109557549      | <i>Haliotis laevigata</i> x <i>Haliotis rubra</i> | tropomyosin                       | 284    | 0.044   | 24.7%      | 198                  |
| 219806586       | <i>Haliotis discus discus</i>                     | tropomyosin                       | 284    | 0.07    | 26.4%      | 163                  |
| 9954249         | <i>Haliotis diversicolor</i>                      | tropomyosin                       | 284    | 0.28    | 25.8%      | 163                  |
| 1203820203      | <i>Crassostrea gigas</i>                          | Tropomyosin                       | 284    | 0.33    | 21.8%      | 170                  |
| 83715930        | <i>Sepioteuthis lessoniana</i>                    | Tropomyosin                       | 284    | 0.53    | 23.6%      | 199                  |
| 83715934        | <i>Ommastrephes bartramii</i>                     | Tropomyosin                       | 284    | 0.53    | 23.6%      | 199                  |
| 83715928        | <i>Sepia esculenta</i>                            | Tropomyosin                       | 284    | 0.53    | 23.6%      | 199                  |
| 83715936        | <i>Octopus vulgaris</i>                           | Tropomyosin                       | 284    | 0.62    | 24.7%      | 166                  |
| 83715932        | <i>Todarodes pacificus</i>                        | Tropomyosin                       | 284    | 0.72    | 24.7%      | 166                  |
| 262272875       | <i>Blattella germ</i>                             | allergen Bla g 3 isoform 1 precur | 657    | 1       | 23.1%      | 121                  |

**Table B.5.4.5-3 Full FASTA Search of AllergenOnline Database Version 18B with UGT-B2 Fusion Enzyme**

| Sequence G.I. # | Organism              | Description                          | Length | E-value | % Identity | Amino acid alignment |
|-----------------|-----------------------|--------------------------------------|--------|---------|------------|----------------------|
| 262272875       | <i>Blattella germ</i> | allergen Bla g 3 isoform 1 precursor | 657    | 0.96    | 23.1%      | 121                  |

In addition to the full-length FASTA search, and in accordance with the FAO/WHO guideline, the database was searched using a sliding window of 80-amino acid sequences derived from the full-length amino acid sequences. The 80-amino acid alignment search was conducted using default settings (E-value cut-off = 1 and maximum alignments of 20). According to the approach adopted by the Codex Alimentarius Commission, significant homology is defined as an identity match of greater than 35%, and

in such instances, cross-reactivity with the known allergen must be considered a possibility. Using this search strategy, no identity matches of greater than 35% were identified for any of the protein sequences.

#### **B.5.4.6 Origins and History of Use of the Source Microorganism**

A wild-type *P. pastoris* was used as the parental microorganism to construct the UDP-glucosyltransferase and sucrose synthase producing strains. Further details regarding the origins of the source microorganism are provided in Appendix A. *P. pastoris* belongs to the Saccharomycetaceae family and the taxonomic identity of *P. pastoris* is presented in Table B.5.4.6-1.

**Table B.5.4.6-1 Taxonomic Identity of *Pichia pastoris***

|         |                        |
|---------|------------------------|
| Kingdom | Fungi                  |
| Phylum  | Ascomycota             |
| Class   | Saccharomycetes        |
| Order   | Saccharomycetales      |
| Family  | Saccharomycetaceae     |
| Genus   | <i>Komagataella</i>    |
| Species | <i>Pichia pastoris</i> |

*P. pastoris* was first introduced for use in commercial production of a single cell protein as an animal feed additive over 40 years ago (Ahmad *et al.*, 2014). Since then, *P. pastoris* has been extensively used in food production, such as cheese and wine, and human pharmaceuticals, such as integral membrane proteins and affinity-tagged membrane proteins (Ahmad *et al.*, 2014). The use of *P. pastoris* as an expression host was reportedly 17% of the total recombinant genes in 2009 (Sørensen, 2010). *P. pastoris* has been granted qualified presumption of safety (QPS) status in the EU for use in enzyme production (EFSA, 2017) and therefore is considered safe for the derivation of genetically-modified strain lineages intended for use in the production of food enzymes. In the U.S., dried *P. pastoris* is an approved food additive for use in feed formulations of broiler chickens as a source of protein (21 CFR 573.750) (U.S. FDA, 2017b). *P. pastoris* is utilised as a source organism for the production of a phospholipase C enzyme preparation and the U.S. FDA responded with no questions regarding its GRAS status for use as an enzyme in degumming vegetable oils for food use (GRN 204 - U.S. FDA, 2006). This same phospholipase C enzyme preparation from *P. pastoris* has also undergone a safety review by JECFA and no safety concerns were expressed (JECFA, 2009a).

#### **B.5.4.7 Pathogenicity/Toxicogenicity of the Source Microorganism**

*P. pastoris* is a non-pathogenic and non-toxicogenic microorganism and has been granted QPS status by EFSA for use in enzyme production (EFSA, 2017). In addition, the DNA insert encodes only for the enzymes of interest and does not have any sequence similarity to other principal bacterially-produced toxins.

#### **B.5.4.8 Genetic Stability of the Source Microorganism**

The genetic traits of *P. pastoris* have been reported to be stable by a number of investigators (Cereghino and Cregg, 2000; Lim *et al.*, 2002; Daly and Hearn, 2005; Macauley-Patrick *et al.*, 2005; Jin *et al.*, 2006; Gasser *et al.*, 2013). These investigators have evaluated the genetic stability of wildtype *P. pastoris* and following recombinant engineering. In addition, several steps are undertaken in the manufacturing process to inactivate and remove the enzymes from the final product, including heating and filtration steps. Furthermore, batch analyses demonstrate that the final product is of high-purity and contains ≥95% rebaudioside, and is absent of protein.

### B.5.4.9 Information on the Methods Used in the Genetic Modification of the Source Microorganism

A comprehensive description of the methods used in the genetic modification of the source organism to generate yeast strains A and B, carrying UGT-A, UGT-B1, and UGT-B2 fusion enzymes, and steps taken to construct these enzyme production strains is provided in Appendix A.

## B.6 Specification for Identity and Purity of Rebaudioside M

### B.6.1 Product Specifications for Rebaudioside M

Blue California has established food-grade specifications for their rebaudioside M produced *via* enzymatic bioconversion of purified stevia leaf extract. As shown in Table B.6.1-1, the product specifications are consistent with the specifications in Schedule 3 of The Code for “rebaudioside M” (S3—31) and “steviol glycoside mixtures containing rebaudioside M” (S3—32), and comply with the assay and impurity specifications in the FAO JECFA Monograph 19 for “steviol glycosides from *Stevia rebaudiana* Bertoni” (JECFA, 2016b). All methods of analysis are internationally-recognised methods.

**Table B.6.1-1 Product Specifications for Rebaudioside M**

| Specification Parameters          | Blue California (Rebaudioside M) | JECFA (Steviol glycosides)   | Method of Analysis   |
|-----------------------------------|----------------------------------|------------------------------|----------------------|
| <b>Physical parameters</b>        |                                  |                              |                      |
| Appearance                        | Powder                           | Powder                       | Visual               |
| Colour                            | White                            | White to light yellow        | Visual               |
| Solubility                        | Soluble in water                 | Freely soluble in water      |                      |
| Purity                            | ≥95% (Reb M)                     | ≥95%total steviol glycosides | HPLC                 |
| <b>Chemical parameters</b>        |                                  |                              |                      |
| Residual ethanol                  | <1,000 ppm                       | ≤5,000 ppm                   | USP 34               |
| Residual methanol                 | <200 ppm                         | ≤200 ppm                     | USP 34               |
| Loss on drying                    | ≤6%                              | ≤6%                          | USP 34               |
| pH (1% solution)                  | 5 to 7                           | 4.5 to 7.0                   | USP 34               |
| Total ash                         | <1%                              | ≤1%                          | USP 34               |
| Arsenic                           | <0.5 ppm                         | ≤1 ppm                       | ICP-MS (AOAC 993.14) |
| Lead                              | <0.5 ppm                         | ≤1 ppm                       | ICP-MS (AOAC 993.14) |
| Mercury                           | <0.5 ppm                         | Not specified                | ICP-MS (AOAC 993.14) |
| Cadmium                           | <0.5 ppm                         | Not specified                | ICP-MS (AOAC 993.14) |
| <b>Microbiological parameters</b> |                                  |                              |                      |
| Total plate count                 | <3,000 CFU/g                     | Not specified                | AOAC 990.12          |
| Total coliforms                   | <100 CFU/g                       | Not specified                | AOAC 990.14          |
| Yeast and mould                   | <100 CFU/g                       | Not specified                | AOAC 997.02          |
| <i>Salmonella</i> spp.            | Negative                         | Not specified                | AOAC-RI 100201       |
| <i>Escherichia coli</i>           | Negative                         | Not specified                | AOAC 990.14          |

CFU = colony forming units; JECFA = Joint FAO/WHO Expert Committee on Food Additives; ppm = parts-per-million

### B.6.2 Product Analysis

#### B.6.2.1 Batch Analyses

Five (5) non-consecutive batches of Blue California’s rebaudioside M produced *via* enzymatic bioconversion of purified stevia leaf extract were analysed and the results in Table B.6.2.1-1 demonstrate compliance with the defined product specifications. The certificates of analyses are provided in Appendix G.

**Table B.6.2.1-1 Results of 5 Non-Consecutive Batches of Rebaudioside M**

| Parameter                         | Specification    | Manufacturing Lot No. |             |             |             |             |
|-----------------------------------|------------------|-----------------------|-------------|-------------|-------------|-------------|
|                                   |                  | 20151115-C3           | 20151123-D4 | M195-151127 | M195-151128 | M195-151165 |
| <b>Physical parameters</b>        |                  |                       |             |             |             |             |
| Appearance                        | Powder           | Pass                  | Pass        | Pass        | Pass        | Pass        |
| Colour                            | White            | Pass                  | Pass        | Pass        | Pass        | Pass        |
| Solubility                        | Soluble in water | Pass                  | Pass        | Pass        | Pass        | Pass        |
| Purity (% Reb M)                  | ≥95              | 98.7                  | 98.8        | 97.9        | 98.5        | 97.8        |
| <b>Chemical parameters</b>        |                  |                       |             |             |             |             |
| Residual ethanol (ppm)            | <1,000           | <200                  | <200        | <200        | <200        | <200        |
| Residual methanol (ppm)           | <200             | <100                  | <100        | <100        | <100        | <100        |
| Loss on drying (%)                | ≤6               | 2.30                  | 5.22        | 5.50        | 2.89        | 5.00        |
| pH (1% solution)                  | 5 to 7           | Pass                  | Pass        | Pass        | Pass        | Pass        |
| Total ash (%)                     | <1               | Pass                  | Pass        | Pass        | Pass        | Pass        |
| Arsenic (ppm)                     | <0.5             | 0.010                 | 0.013       | 0.015       | 0.011       | 0.012       |
| Lead (ppm)                        | <0.5             | 0.156                 | 0.196       | 0.194       | 0.156       | 0.144       |
| Mercury (ppm)                     | <0.5             | 0.007                 | 0.006       | 0.005       | 0.005       | 0.008       |
| Cadmium (ppm)                     | <0.5             | 0.012                 | 0.012       | 0.015       | 0.013       | 0.012       |
| <b>Microbiological parameters</b> |                  |                       |             |             |             |             |
| Total plate count (CFU/g)         | <3,000           | <1,000                | <1,000      | <1,000      | <1,000      | <1,000      |
| Total coliforms (CFU/g)           | <100             | <3                    | <3          | <3          | <3          | <3          |
| Yeast and mould (CFU/g)           | <100             | <50                   | <50         | <50         | <50         | <50         |
| <i>Salmonella</i> spp.            | Negative         | ND                    | ND          | ND          | ND          | ND          |
| <i>Escherichia coli</i>           | Negative         | ND                    | ND          | ND          | ND          | ND          |

CFU = colony forming units; ND = not detected; ppm = parts-per-million

## B.7 Information for Food Labelling

Rebaudioside M is classified as a steviol glycoside under Schedule 3, as such, it would follow similar food labelling as steviol glycosides. Steviol glycosides are considered to be intense sweeteners and flavour enhancers when added to various food products. Steviol glycosides have been assigned the INS number of 960. Rebaudioside M will be labelled under the functional class, sweetener, either as sweetener (960) or sweetener (steviol glycosides).

## B.8 Analytical Method for Detection

The analytical methods used to confirm that Blue California's rebaudioside M meets the established chemical and microbial specifications (Section B.6.1) are internationally recognised (*e.g.*, Association of Official Analytical Chemists [AOAC], U.S. Pharmacopeia [USP], JECFA). The rebaudioside M content in the final product is quantified according to the JECFA HPLC method for steviol glycosides described in FAO JECFA Monograph 19 for "Steviol Glycosides from *Stevia rebaudiana* Bertoni" (JECFA, 2016b). Details of the HPLC method and chromatographic data are available in Appendix H.

## B.9 Potential Additional Purposes of the Food Additive when Added to Food

As an intense sweetener, Blue California's rebaudioside M can be added to foods to replace the sweetness provided by sugars without significantly contributing to available energy. As such, rebaudioside M can be used by consumers to control caloric intake. Consumers following a weight-loss program looking to restrict their refined sugar intake or individuals with diabetes avoiding sugar consumption may also use rebaudioside M for these purposes.

## **C. INFORMATION RELATED TO THE SAFETY OF THE FOOD ADDITIVE**

In accordance with Section 3.3.1 – Food Additives of the Food Standards Australia New Zealand *Application Handbook* (FSANZ, 2016) the safety information outlined must be provided to extend the use of a currently permitted food additive.

1. Information on the toxicokinetics and metabolism of the food additive and, if necessary, it's degradation products and/or major metabolites.
2. Information on the toxicity of the food additive and, if necessary, its degradation products and major metabolites.

These points need only include reports of studies conducted since the last safety evaluation by FSANZ, and are addressed in the Section that follows.

Section 3.3.1 – Food Additives of the Food Standards Australia New Zealand *Application Handbook* (FSANZ, 2016) states that if available, safety assessment reports prepared by international agencies of other national government agencies should be provided. A summary of the safety assessment reports prepared by international agencies that have been published since the last safety evaluation by FSANZ are outlined in the following section.

## C.1 Introduction

The safety conclusions for steviol glycosides in general, including rebaudioside M, are based on the fact that all steviol glycosides share a common metabolic fate following ingestion. Steviol glycosides are hydrolysed to steviol in the large intestine, which is subsequently absorbed and conjugated with glucuronic acid to form steviol glucuronide that is excreted primarily *via* the urine in humans. On this basis, safety studies conducted on specific steviol glycosides can be extended to other individual steviol glycosides, including rebaudioside M, due to the shared metabolic fate.

In 2016, FSANZ received an application to expand the definition of steviol glycosides to include all steviol glycosides present in the *S. rebaudiana* leaf. The safety of all steviol glycosides was reviewed by FSANZ at this time and an approval report was issued February 20, 2017 to expand the steviol glycoside definition (FSANZ, 2017a). Therefore, for this specification amendment, only safety studies conducted with steviol glycosides that were published in 2016 and 2017 were reviewed and summarised in the sections that follow. To identify scientific publications relevant to the safety of steviol glycosides and rebaudioside M, a comprehensive and detailed search of the published scientific literature was conducted through July 2017. The search was limited to articles with full texts within peer-reviewed scientific journals and the following databases were accessed: Adis Clinical Trials Insight, AGRICOLA, AGRIS, Allied & Complementary Medicine™, BIOSIS® Toxicology, BIOSIS Previews®, CAB ABSTRACTS, Embase®, Foodline®: SCIENCE, FSTA®, MEDLINE®, NTIS: National Technical Information Service, and ToxFile®.

## C.2 Information on the Toxicokinetics & Metabolism of Steviol Glycosides

To support the conclusions regarding the shared metabolic fate for all steviol glycosides, Purkayastha *et al.* (2016) conducted *in vitro* experiments to compare the metabolism of several related steviol glycosides (“major” and “minor”) in the presence of human faecal homogenates. For this collection of experiments, pooled faecal homogenates were prepared from samples obtained from 12 healthy volunteers (6 per sex) and incubated under anaerobic conditions with individual steviol glycosides at concentrations ranging from 0.2 to 2.0 mg/mL (depending on solubility) for up to 48 hours at 37°C (see Table C.2-1). Rebaudioside A was used as a control in each experiment to allow for the comparison of results between experiments. The amount of steviol produced was measured by liquid chromatography-mass spectrometry (LC-MS). The data presented by Purkayastha *et al.* (2016) demonstrate that steviol glycosides containing different numbers and types of sugar moieties (*e.g.*, glucose, rhamnose, and xylose are represented in these experiments) are hydrolysed to steviol at rates that are generally similar. The authors noted that since “*none of the glycosides show a rate of hydrolysis that is significantly different from the others, it follows that there is no concern that any of the steviol glycosides would result in rapid absorption of steviol in humans*”. These data support the conclusion that all steviol glycosides share a common metabolic fate and that safety data generated with an individual steviol glycoside may be applied to support the safety of all purified steviol glycosides in general.

**Table C.2-1 Summary of *In Vitro* Experiments Conducted by Purkayastha *et al.* (2016)**

| Study | Steviol glycosides | Concentration(s)  | Incubation times  | % Converted to steviol at 24h* |
|-------|--------------------|-------------------|-------------------|--------------------------------|
| 1     | Rebaudioside A     | 0.2 and 2.0 mg/mL | 4, 8, 24, and 48h | 47.3-55.9                      |
|       | Rebaudioside B     |                   |                   | 40.4-64.8                      |
|       | Rebaudioside D     |                   |                   | 63.8-102.0                     |
| 2     | Rebaudioside A     | 2.0 mg/mL         | 4, 8, 24, and 48h | 29.6-52.4                      |
|       | Rebaudioside C     |                   |                   | 22.9-40.3                      |
| 3     | Rebaudioside A     | 0.2 mg/mL         | 8, 16, and 24h    | 97.0-103.7 (0.2 mg/mL)         |
|       | Rebaudioside M     |                   |                   | 107.2-115.1 (0.2 mg/mL)        |

**Table C.2-1 Summary of *In Vitro* Experiments Conducted by Purkayastha *et al.* (2016)**

| Study | Steviol glycosides | Concentration(s)  | Incubation times   | % Converted to steviol at 24h* |
|-------|--------------------|-------------------|--------------------|--------------------------------|
| 4     | Rebaudioside A     | 0.2 and 2.0 mg/mL | 4, 8, 16, and 24h  | 34.8-78.9                      |
|       | Rebaudioside E     |                   |                    | 54.2-67.8                      |
|       | Steviolbioside     |                   |                    | 50.4-77.9                      |
| 5     | Rebaudioside A     | 0.2 and 2.0 mg/mL | 8, 16, 24, and 48h | 74.8-101.5 (0.2 mg/mL)         |
|       |                    |                   |                    | 12.2-32.5                      |
|       | Rebaudioside F     |                   |                    | 15.9-41.2 (0.2 mg/mL)          |
|       |                    |                   |                    | 2.9-6.6                        |
|       | Dulcoside A        |                   |                    | 42.8-60.3 (0.2 mg/mL)          |
|       | 29.5-43.2          |                   |                    |                                |
|       | Rebaudioside M     |                   |                    | 75.5-96.6 (0.2 mg/mL)          |

\* At the 2.0 mg/mL test concentration unless otherwise stated.

Roberts *et al.* (2016) conducted pharmacokinetic studies with stevioside in rats and humans in order to derive chemical-specific adjustment factors (CSAF) for extrapolating toxicokinetic data derived in rats to humans. In deriving the ADI for steviol glycosides (0 to 4 mg steviol equivalents/kg body weight) a 100-fold uncertainty factor was applied to the no-observed-adverse-effect level (NOAEL) in the chronic study in rats conducted by Toyoda *et al.*, 1997. In this study, Roberts *et al.* (2016) set out to determine if the default uncertainty factor of 10 for interspecies differences (4 for toxicokinetic, 2.5 for toxicodynamic differences) could be decreased. Male Sprague-Dawley rats (n=6) were administered *via* gavage a single dose of stevioside dissolved in water at dose of 40 mg/kg body weight (steviol equivalents of 16 mg/kg body weight). Clinical signs were observed at the time of dosing as well as approximately 2 hours afterward, and mortality/moribundity was evaluated twice daily for the study duration. Blood samples were collected at numerous time points between 0.5 to 72 hours following stevioside administration and steviol and steviol glucuronide plasma concentrations were measured using LC-MS/MS. The clinical arm of the study was an open-label single-dose design, where healthy male subjects (n=10) consumed a single dose of stevioside dissolved in water (40 mg/kg body weight) and blood samples were collected between 0.5 to 72 hours post-dose to allow for plasma steviol and steviol glucuronide to be quantified using LC-MS/MS. The occurrence of any adverse events was monitored throughout the study and no compound-related adverse events were reported. Pharmacokinetic parameters were calculated independently from both the rat and human data and then compared. Peak plasma concentrations ( $C_{max}$ ) of steviol were comparable between rats (76 ng/mL) and humans (72 to 77 ng/mL), but occurred earlier in the rats (4 vs. 19 hours). Steviol glucuronide concentrations peaked at 4,400 ng/mL at about 22 hours in humans, whereas in rats the steviol glucuronide  $C_{max}$  occurred at 6 hours and was approximately 25-fold lower (160 ng/mL). To evaluate overall systemic exposure, the area-under-the-curve (AUC) was calculated from the concentration vs. time data. Steviol exposure was reported to be 2.8-fold higher in humans than rats (~1,650 ng\*h/mL vs. 590 ng\*h/mL) and steviol glucuronide exposure was 57-fold higher (~136,000 ng\*h/mL vs. 2,400 ng\*h/mL). Roberts *et al.* (2016) used these data to propose a decrease in the 100-fold uncertainty factor applied to the NOAEL of 383 mg steviol/kg body weight/day from the Toyoda *et al.* (1997) study. The authors suggest that the default 10-fold uncertainty factor that accounts for interspecies differences, made up a factor of 4 for toxicokinetic and a factor of 2.5 for toxicodynamic differences, may be decreased to as low as 2.5. This is based on using a factor of 1 instead of 4 for toxicokinetic differences since the  $C_{max}$  values measured in this study for steviol were comparable between rats and humans. However, since the AUC values for steviol were 2.8-fold higher in humans than in rats, these data suggest that a factor of 2.8 for toxicokinetic differences should also be considered, and that the uncertainty factor for interspecies differences could be up to 7 (2.5 x 2.8). Utilising this range of uncertainty factors, Roberts *et al.* (2016) re-calculate the ADI and propose a higher ADI for steviol glycosides of 6 to 16 mg/kg body weight as steviol equivalents.

## C.3 Information on the Toxicity of Steviol Glycosides

### C.3.1 Toxicological Studies

#### C.3.1.1 Repeat-Dose Toxicity

Rumelhard *et al.* (2016) evaluated the subchronic toxicity of rebaudioside A produced *via* fermentation using a strain of *Yarrowia lipolytica* genetically modified to express the *S. rebaudiana* metabolic pathway. Sprague-Dawley rats (n=20/sex/group) were provided rebaudioside A (>95% purity) in the diet at doses of 0, 500, 1,000 or 2,000 mg/kg body weight/day. Throughout the course of the study no deaths or clinical signs of toxicity were reported. Males in the high dose group had significantly lower body weights, body weight gain, and cumulative body weight gain compared to the control group and these changes were not associated with decreased food consumption. The authors suggested that the changes in body weight were related to the decreased caloric value of the rebaudioside A diet compared to the basal diet and did not consider them to be adverse. No effects related to consumption of rebaudioside A were reported in the haematology, coagulation, serum chemistry, and urinalysis parameters measured nor in the results of the gross pathological and histopathological examinations. Based on these data, the authors proposed a no-adverse-effect level (NOEL) for “fermentative” rebaudioside A of 2,057 or 2,023 mg/kg body weight/day for males and females, respectively, the highest dose tested in this study, and equivalent to 679 or 668 steviol/mg body weight/day. The results of these toxicological studies with “fermentative” rebaudioside A corroborate the results of similar studies previously conducted with other individual steviol glycosides and further support the lack of toxicity associated with this family of compounds.

#### C.3.1.2 Genotoxicity

Rumelhard *et al.* (2016) also evaluated the potential for genotoxicity of rebaudioside A produced *via* fermentation using a strain of *Yarrowia lipolytica* genetically modified to express the *S. rebaudiana* metabolic pathway. Mutagenicity of the purified rebaudioside A (>95% purity) was assessed using the bacterial reverse mutation test (*E. coli* strain WP<sub>2</sub> *uvrA* and *S. typhimurium* strains TA1535, 1537, 98, and 100) at concentrations of up to 5,000 µg/plate, both in the presence and absence of metabolic activation with rat S9-mixture. An *in vitro* micronucleus test was also conducted using cultured peripheral human lymphocytes and rebaudioside A was tested at up to 5,000 µg/plate for 3 and/or 24 hours with and without metabolic activation. No mutagenic or cytotoxic effects were reported in either of the assays under all conditions tested.

Sharif *et al.* (2017) investigated the anticancer potential of stevioside by assessing the cytotoxicity and genotoxicity of stevioside (purity not reported) at concentrations up to 200 µM on CCD18Co myofibroblast cells (non-target cell) and human colon derived cancer cells HCT 116 (target cell). Cell viability was measured *via* a MTT assay as an indicator of cytotoxicity, which involved cultured cells that were dosed with 0, 12.5, 25, 50, 100, and 200 µM stevioside for 24 hours, followed by addition of MTT solution, a 4-hour incubation, addition of dimethylsulfoxide (DMSO), and an absorbance reading using a microplate reader. An alkaline comet assay, a measure of genotoxicity, was used to detect DNA strand breaks by treating seeded cells with 200 µM stevioside for 24 hours and quantifying DNA tail intensity and tail moment using CometScore software program. While cell viability decreased gradually from the lowest to highest concentrations of stevioside for both CCD18Co and HCT 116 cells, the relative decrease between the cell types was not significantly different. At an exposure of 200 µM stevioside, no change in the DNA tail intensity was reported for both CCD18Co and HCT 116 cells compared to the respective control, whereas DNA tail moment was unchanged in the CCD18Co cells but significantly increased in the HCT 116 cells. The authors concluded that although stevioside is not cytotoxic or genotoxic in the non-target CCD18Co myofibroblast cells, stevioside does not appear to hold potent anticancer potential towards the target HCT 116 cells.

### **C.3.1.3 Long-term Toxicity and Carcinogenicity**

The chronic toxicity and carcinogenicity of steviol glycosides has been previously addressed in the safety evaluations by the scientific bodies and regulatory agencies described in Section C.4. No new data were identified in relation to this endpoint.

### **C.3.1.4 Reproductive and Developmental Toxicity**

The reproductive and developmental toxicity of steviol glycosides has been previously addressed in the safety evaluations by the scientific bodies and regulatory agencies described in Section C.4. No new data were identified in relation to this endpoint.

### **C.3.1.5 Immune Function/Immunotoxicity**

Noosud *et al.* (2017) examined the effects of stevioside (>95% purity) on rat plasma levels of tumour necrosis factor alpha (TNF- $\alpha$ ) and IL-1 $\beta$  *in vivo* and the release of these pro-inflammatory cytokines *in vitro* from rat peripheral blood mononuclear cells (PBMCs) isolated from rats exposed to stevioside. Male Wistar rats (170 to 220 g in weight; n=6/group) were administered stevioside by gavage at doses of 0, 500, and 1,000 mg/kg body weight/day for 6 weeks. Blood samples were collected at the end of the exposure period and plasma and PBMCs were isolated. To induce cytokine production, PBMCs were stimulated with and without lipopolysaccharide (LPS) *in vitro* for 24 hours and supernatant fluids were collected. Rat enzyme-linked immunosorbent assay (ELISA) kits were used to measure TNF- $\alpha$  and IL-1 $\beta$  concentrations in plasma and their release from PBMCs. Oral intake of stevioside was not found to be toxic to PBMCs as evidenced by similar cell viability in the stevioside and control groups. TNF- $\alpha$  and IL-1 $\beta$  were not detected in the plasma for both control and treatment groups. Following LPS stimulation of PBMCs *in vitro*, TNF- $\alpha$  and IL-1 $\beta$  released from stevioside exposed cells (both doses) were significantly decreased compared to the control group, demonstrating an inhibitory effect on cytokine release. The authors propose that stevioside may be able to inhibit the release of proinflammatory cytokines such as TNF- $\alpha$  and IL-1 $\beta$  *in vivo* and that further studies should be conducted.

### **C.3.1.6 Antidiabetic Effects**

Aranda-González *et al.* (2016) conducted a similar study in rats to evaluate the antidiabetic effects of purified steviol glycosides. Diabetes was induced in Male Wistar rats by injecting 65 mg/kg streptozotocin (except in the normoglycaemic control group). Rats were provided daily with prepared food pellets containing the following for 28 days: glibenclamide as a positive control at a dose of 5mg/kg body weight/day (n=12); rebaudioside B, rebaudioside C, rebaudioside D, dulcoside A or steviolbioside at 20 mg/kg body weight/day (n=4/group). The non-diabetic and diabetic control groups (n=4/group) were provided with standard pellets. An intraperitoneal glucose tolerance test (IPGTT) was conducted following 28 days of steviol glycoside consumption and no difference was seen in the percentage change of glucose compared with the diabetic control. Likewise, when a similar experiment was conducted with normoglycaemic rats, steviol glycosides were not reported to have any effect on the IPGTT compared to control. The authors concluded that 28 days of exposure to rebaudioside B, rebaudioside C, rebaudioside D, dulcoside A and steviolbioside at 20 mg/kg body weight/day had no antihyperglycaemic effects in normoglycaemic or induced-diabetic rats.

Reynolds *et al.* (2017) evaluated the effects of chronic rebaudioside A exposure on circadian rhythms, insulin action *in vivo*, and susceptibility to diet-induced obesity. Male C57BL6/J mice (10/group) were provided with normal drinking water or drinking water containing 0.1% rebaudioside A (116 to 207 mg/kg body weight/day) for approximately 7 months. On the first day of rebaudioside A exposure, both groups of mice were placed in cages with running wheels for 32 days, and wheel running activity was monitored under both a regular 12-hour light-dark cycle as well as complete darkness. Mice were then returned to standard cages for a 3-month recovery period, after which glucose, pyruvate, and

insulin tolerance testing (*i.e.*, *in vivo* insulin action) was performed with 7- to 10-day recovery periods between each test. Susceptibility to obesity was then assessed by providing mice with a high-fat diet for 2-months. No changes in circadian wheel running activity nor body weight during this portion of the study were reported. The results of the glucose, insulin, and pyruvate tolerance tests were all similar between the 2 groups, and likewise, rebaudioside A exposure did not alter the susceptibility to diet-induced obesity.

### C.3.2 Human Studies

Ritu and Nandini (2016) studied the hypoglycaemic and hypolipidaemic effects of stevia leaf powder (steviol glycoside purity not reported) in 20 human volunteers with type 2 diabetes mellitus. Half of the subjects were given 1 g of stevia leaf powder (purity not reported), assumed to be consumed daily, for 60 days. A 3-day dietary evaluation indicated that subjects in the stevia group had a lower mean caloric intake than the control group, and consumed more protein and fewer carbohydrates. Biochemical parameters, including blood glucose, cholesterol, and triglycerides were assessed after a period of 30 and 60 days. No differences were reported after 30 days, whereas after 60 days fasting and post-prandial blood glucose levels in the stevia leaf group were reported to be significantly lower than baseline. Although the authors report that serum cholesterol, triglycerides, and very low-density lipoprotein cholesterol (VLDL-C) were significantly decreased in the stevia group, significant decreases were also reported in the control group and lipid profiles measured at baseline were not equivalent between the 2 groups. The significance of the findings reported in this study appear to be unclear, particularly considering the differences noted in dietary intake between the 2 groups.

Shin *et al.* (2016) evaluated the glycaemic effects of a mixture of rebaudioside A and erythritol in a population of pre-diabetic adult subjects with glucose intolerance. The study was a single, open, clinical trial in 25 male and female subjects who were instructed to consume 2 packets of sweetener dissolved in water, twice a day (after breakfast and dinner) for 2 weeks. Each sweetener packet contained 16 mg rebaudioside A and 986 mg of erythritol. Based on the reported average body weight of the study subjects the approximate exposure to rebaudioside A was 1 mg/kg body weight. Subjects were instructed to maintain a regular diet throughout the study period, which was confirmed by daily diet records filled out by the participants. The primary outcome of the study was defined as the change in fructosamine for blood glucose monitoring from baseline to the end of the 2-week study period. Secondary outcomes included fasting plasma glucose, 2-hour glucose, C-peptide, and insulin levels. Fructosamine levels did not change significantly between baseline and the end of the study, and no significant differences were reported in any of the secondary outcomes evaluated. Two adverse events involving abdominal discomfort were reported during the study and both resolved spontaneously. The authors concluded that the consumption of the mixed sweetener product containing rebaudioside A and erythritol did not alter glucose homeostasis in individuals with glucose intolerance.

### C.4 Safety Assessment Reports Prepared by International or National Agencies

The safety of steviol glycosides has been reviewed by several scientific bodies and regulatory agencies, such as FSANZ, the U.S. FDA, JECFA, European Food Safety Authority (EFSA), European Commission's Scientific Committee on Food (SCF), and Health Canada. The large consumer and industry interest into the use of steviol glycosides as sweeteners has prompted extensive safety testing of these compounds and, as a result, a large safety database exists. The safety database for steviol glycosides includes a thorough evaluation of the metabolic fate and pharmacokinetics of the various steviol glycosides in experimental animals and humans, acute toxicity studies, short-term and long-term toxicity and carcinogenicity studies, reproductive and developmental toxicity studies, *in vitro* and *in vivo* mutagenicity and genotoxicity studies, and clinical studies. Many of the early toxicology studies examined the safety of stevioside due to its predominance in *S. rebaudiana* leaves (Aze *et al.*, 1991;

Toyoda *et al.*, 1997). However, due to the shared metabolic fate of steviol glycosides (*i.e.*, hydrolysis into steviol), regulatory agencies and authoritative bodies have expanded their safety opinions to encompass the safety of all steviol glycosides rather than individual glycosides. The recent opinions/reports issued since the last steviol glycoside safety evaluation by FSANZ are summarised below.

#### C.4.1 Joint FAO/WHO Expert Committee on Food Additives (JECFA)

The safety of steviol glycosides has been extensively reviewed by JECFA at their 51<sup>st</sup>, 63<sup>rd</sup>, 68<sup>th</sup>, 69<sup>th</sup>, and 82<sup>nd</sup> meetings in 1998, 2004, 2007, 2008, and 2016 respectively (JECFA, 1999, 2006, 2007, 2009b, 2016c). Initially, the Committee established a temporary ADI of 0 to 2 mg/kg body weight, expressed as steviol, for steviol glycosides based on an NOAEL of 970 mg/kg body weight/day (383 mg/kg body weight/day as steviol) from a 2-year study in rats (Toyoda *et al.*, 1997) and application of a safety factor of 200 (JECFA, 2006). In 2008, following review of additional animal and human studies evaluating the effects of steviol glycosides on blood pressure and blood glucose, the Committee concluded that the results from these studies were sufficient to remove the additional safety factor of 2, and established a full ADI of 0 to 4 mg/kg body weight (expressed as steviol) for steviol glycosides.

The JECFA Committee recently re-evaluated the safety, dietary intake, and specifications for steviol glycosides at its 82<sup>nd</sup> meeting in 2016. The safety of steviol glycosides as well as the ADI of 0 to 4 mg/kg body weight, expressed as steviol, were confirmed. Details of a new manufacturing process for rebaudioside A utilising a strain of *Yarrowia lipolytica* that was genetically modified to overexpress the steviol glycoside biosynthetic pathway were submitted to and reviewed by the Committee. As a result, the Committee issued a new specification monograph for “Rebaudioside A from Multiple Gene Donors Expressed in *Yarrowia lipolytica*” (JECFA, 2016a). The Committee also reviewed data demonstrating the shared metabolism of all steviol glycosides and issued new ‘tentative’ specifications<sup>4</sup> for “Steviol Glycosides from *Stevia rebaudiana* Bertoni” (JECFA, 2016b), expanding the definition of steviol glycosides to “a mixture of compounds containing a steviol backbone conjugated to any number or combination of the principal sugar moieties in any of the orientations occurring in the leaves of *Stevia rebaudiana* Bertoni including, glucose, rhamnose, xylose, fructose, and deoxyglucose”. The purity of steviol glycosides from *S. rebaudiana* Bertoni must be no less than 95% total steviol glycosides on the dried basis.

#### C.4.2 U.S. Food and Drug Administration (FDA)

Since the beginning of 2016, 11 GRAS notices regarding purified steviol glycosides ( $\geq 95\%$  purity), including stevia leaf extract, glucosylated steviol glycosides, steviol glycosides manufactured using genetically modified yeast, and steviol glycosides manufactured *via* enzymatic bioconversion have been submitted to the U.S. FDA. A summary of the steviol glycoside GRAS notices submitted to the U.S. FDA since the beginning of 2016 and the agency’s corresponding response, where available, is presented in Table C.4.2-1. With the exception of the most recent GRAS notification (GRN 733) (U.S. FDA, 2017c) that is currently pending review, the U.S. FDA has raised no objections to the GRAS status of these steviol glycoside products for use as general purpose sweeteners in foods. Of particular relevance to this submission, GRN No. 667 was submitted by Blue California for rebaudioside M produced *via* enzymatic bioconversion of purified stevia leaf extract, which is the same product that is the subject of this application (Blue California, 2016; U.S. FDA, 2017a). The U.S. FDA responded with no questions to the GRAS status of this rebaudioside M preparation for use as a table top sweetener and as a general purpose non-nutritive sweetener incorporated for use in foods.

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<sup>4</sup> The tentative status was removed at the 84<sup>th</sup> meeting and full specifications are to be published that include the additional sugar moieties arabinose and galactose.

**Table C.4.2-1 Summary of GRAS Notices Submitted to the U.S. FDA for Steviol Glycosides in 2016/2017**

| Company                                | Substance  | FDA Response | GRAS Notice No.              |
|--|--|--------------|------------------------------|
| PureCircle, Ltd.                       | Glucosylated steviol glycosides (minimum 80% purity)                                       | No questions | GRN 000607 (U.S. FDA, 2016a) |
| PureCircle, Ltd.                       | Purified steviol glycosides  | No questions | GRN 000619 (U.S. FDA, 2016b) |
| Cargill, Inc.                          | Steviol glycosides produced in <i>Saccharomyces cerevisiae</i>                             | No questions | GRN 000626 (U.S. FDA, 2016c) |
| DSM Nutritional Products, LLC          | Rebaudioside A from <i>Yarrowia lipolytica</i>   | No questions | GRN 000632 (U.S. FDA, 2016d) |
| Hunan Huacheng Biotech Inc.            | High purity steviol glycosides (minimum purity 97%) consisting primarily of rebaudioside A | No questions | GRN 000638 (U.S. FDA, 2016e) |
| GLG Life Tech Corporation              | Enzyme-modified steviol glycosides   | No questions | GRN 000656 (U.S. FDA, 2016f) |
| PureCircle USA                         | Glucosylated steviol glycosides (minimum purity 95%)                                       | No questions | GRN 000662 (U.S. FDA, 2016g) |
| Blue California                        | Rebaudioside M   | No questions | GRN 000667 (U.S. FDA, 2017a) |
| Xinghua GL Stevia Co., Ltd.            | Purified steviol glycosides  | No questions | GRN 000702 (U.S. FDA, 2017d) |
| Blue California                        | Rebaudioside D   | No questions | GRN 000715 (U.S. FDA, 2017e) |
| Shangdong Shengxiangyuan Biotechnology | Purified steviol glycosides  | Pending      | GRN 000733 (U.S. FDA, 2017c) |

FDA = Food and Drug Administration; GRAS = Generally Recognized as Safe

### C.4.3 Health Canada

In 2016, Health Canada expanded the definition of steviol glycosides from stevioside, rebaudioside A, B, C, D, F, M, dulcoside A, rubusoside, and steviolbioside to include rebaudioside M, supporting the conclusion that the various individual steviol glycosides share a common metabolic fate of hydrolysis to steviol, conjugation with glucuronic acid, and elimination *via* the urine in humans (Health Canada, 2016). Most recently, Health Canada expanded the definition further to include all the steviol glycosides in the *S. rebaudiana* Bertoni plant (Health Canada, 2017b). Detailed safety assessments were conducted by Health Canada in both cases and the agency concluded that the expanded definitions of steviol glycosides raised no safety concerns. Moreover, expansion of the definition confirms that the safety data generated from one specific steviol glycoside can be used to support safety of another steviol glycoside.

## **D. INFORMATION RELATED TO THE DIETARY EXPOSURE TO THE FOOD ADDITIVE**

In accordance with Section 3.3.1 – Food Additives of the Food Standards Australia New Zealand *Application Handbook* (FSANZ, 2016) the following dietary exposure information must be provided:

1. A list of the foods or food groups proposed to contain the food additive
2. The maximum proposed level and/or concentration range of the food additive for each food group or food
3. For foods or food groups not currently listed in the most recent Australian or New Zealand National Nutrition Surveys (NNSs), information on the likely level of consumption (Not applicable)

Each point is addressed in turn in the Section that follows.

## D.1 Proposed Food Uses and Use-Levels of Rebaudioside M

The currently approved food uses and use levels of steviol glycosides in Australia and New Zealand are presented in Table D.1-1 below (FSANZ, 2017b). Blue California intends to market rebaudioside M for use as an intense sweetener under the same conditions of use as those presently authorised for steviol glycosides.

**Table D.1-1 Summary of Currently Permitted Food Uses and Use Levels for Steviol Glycosides in Australia and New Zealand**

| Category No | Food Description  | Steviol Glycoside Concentration (mg/kg) as Steviol Equivalents |
|-------------|---|--|
| 1.1.2       | Liquid milk products and flavoured milk                                 | 115  |
| 1.2.2       | Fermented milk products and renneted milk products                      | 175  |
| 3           | Ice cream and edible ices   | 200  |
| 4.3.2       | Fruits and vegetables in vinegar, oil, brine, or alcohol                | 160  |
| 4.3.4.1     | Low joule chutneys, low joule jams, and low joule spreads               | 450  |
| 4.3.6       | Fruit and vegetable preparations including pulp                         | 210  |
| 5.1         | Chocolate and cocoa products  | 550  |
| 5.2         | Sugar confectionary   | 1100   |
| 6.3         | Processed cereal and meal products                                      | 250  |
| 7.1.1       | Fancy breads  | 160  |
| 7.2         | Biscuits, cakes, and pastries   | 160  |
| 11.4        | Tabletop sweeteners   | GMP  |
| 13.3        | Formula meal replacements and formulated supplementary foods            | 175  |
| 13.4        | Formulated supplementary sports foods                                   | 175  |
| 14.1.2.1    | Fruit and vegetable juices  | 50   |
| 14.1.2.2.2  | Low joule fruit and vegetable juice products                            | 125  |
| 14.1.2.2.3  | Soybean beverage (plain)  | 100 (plain)  |
|             | Soybean beverage (flavoured)  | 200 (flavoured)  |
| 14.1.3      | Water based flavoured drinks  | 200  |
| 14.1.4      | Formulated beverages  | 200  |
| 14.1.5      | Coffee, coffee substitutes, tea, herbal infusions, and similar products | 100  |
| 20.2.0.1    | Custard mix, custard powder, and blancmange powder                      | 80   |
| 20.2.0.2    | Jelly   | 260  |
| 20.2.0.3    | Dairy and fat based desserts, dips, and snacks                          | 150 (only dairy and fat based dessert products)                |
| 20.2.0.4    | Sauces and toppings (including mayonnaises and salad dressings)         | 320  |

GMP = good manufacturing practice

## D.2 Exposure Data

As previously noted, rebaudioside M is intended for use as an intense sweetener under the same conditions of use as those presently authorised for steviol glycosides. Therefore, intakes of rebaudioside M will be the same as for steviol glycosides currently on the Australian/New Zealand marketplace as it is intended to be a direct replacement for other steviol glycosides. As such, a separate intake assessment for rebaudioside M was not performed for the purpose of this application. Furthermore, it should be noted that use-levels for steviol glycosides are expressed as steviol equivalents, and as such, are not specified for any specific steviol glycoside; rather, the use-levels are

based on the total content of the aglycone, steviol, in the final food product resulting from the addition of any steviol glycoside product meeting the appropriate specifications.

### **D.3 Use of the Food Additive in Other Countries**

In the U.S., Blue California's rebaudioside M produced *via* enzymatic bioconversion of purified stevia leaf extract has GRAS status for use as a table top sweetener and a general purpose non-nutritive sweetener in foods (GRN 667 – U.S. FDA, 2017a). GRAS Notice GRN 667 was filed with the U.S. FDA on the same substance, rebaudioside M produced *via* enzymatic bioconversion of purified stevia leaf extract, which is the subject of this application.

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***Application to Amend the Specifications for Rebaudioside M***

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